

On the use of Li isotopes as a proxy for water-rock interaction in fractured crystalline rocks: a case study from the Gotthard rail base tunnel

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**ABSTRACT**

We present Li isotope measurements of groundwater samples collected during drilling of the 57 km long Gotthard rail base tunnel in Switzerland, to explore the use of Li isotope measurements for tracking water-rock interactions in fractured crystalline rocks at temperatures of up to 43°C. The 17 groundwater samples originate from water-conducting fractures within two specific crystalline rock units, which are characterized by a similar rock mineralogy, but significantly different fluid composition. In particular, the aqueous Li concentrations observed in samples from the two units vary from 1-4 mg/L to 0.01-0.02 mg/L. Whereas  $\delta^7\text{Li}$  values from the unit with high Li concentrations are basically constant ( $\delta^7\text{Li}=8.5\text{-}9.1\text{‰}$ ), prominent variations are

recorded for the samples from the unit with low Li concentrations ( $\delta^7\text{Li}=10\text{-}41\text{‰}$ ). This observation demonstrates that Li isotope fractionation can be highly sensitive to aqueous Li concentrations. Moreover,  $\delta^7\text{Li}$  values from the unit with low Li concentrations correlate well with reaction progress parameters such as pH and  $[\text{Li}]/[\text{Na}]$  ratios, suggesting that  $\delta^7\text{Li}$  values are mainly controlled by the residence time of the fracture groundwater. Consequently, 1D reactive transport modeling was performed to simulate mineral reactions and associated Li isotope fractionation along a water-conducting fracture system using the code TOUGHREACT. Modeling results confirm the residence time hypothesis and demonstrate that the absence of  $\delta^7\text{Li}$  variation at high Li concentrations can be well explained by limitation of the amount of Li that is incorporated into secondary minerals. Modeling results also suggest that Li uptake by kaolinite forms the key process to cause Li isotope fractionation in the investigated alkaline system ( $\text{pH}>9$ ), and that under slow flow conditions ( $<10$  m/year), this process is associated with a very large Li isotope fractionation factor ( $\epsilon \approx -50 \text{‰}$ ). Moreover, our simulations demonstrate that for simple and well-defined systems with known residence times and low Li concentrations,  $\delta^7\text{Li}$  values may help to quantify mineral reaction rates if more thermodynamic data about the temperature-dependent incorporation of Li in secondary minerals as well as corresponding fractionation factors become available in the future. In conclusion,  $\delta^7\text{Li}$  values may be a powerful tool to track water-rock interaction in fractured crystalline rocks at temperature higher than those at the Earth's surface, although their use is restricted to low Li concentrations and well defined flow systems.

## 1. INTRODUCTION

The intensity of water-rock interaction in fractured crystalline rocks forms a key parameter in various applications within the field of environmental geochemistry. Examples include enhanced geothermal systems (EGS) where heat extraction mainly depends on accessible fracture surface areas and where water-rock interaction may cause permeability and porosity to decrease over time (Alt-Epping et al., 2013; Stober and Bucher, 2015), nuclear waste repositories to be constructed in crystalline rock environment (e.g., Nordstrom et al., 1989; Molinero et al., 2008; Gimeno et al., 2014) and groundwater contamination affecting fractured crystalline aquifers. The intensity of water-rock interaction in (fractured) crystalline rocks was also proposed to be essential for the global carbon cycle because the interaction of meteoric water with silicate minerals (i.e., chemical weathering) forms an important CO<sub>2</sub> sink (e.g., Berner et al., 1983; Gislason et al., 1996; Francois and Godderis, 1998).

Lithium is a trace element that is almost exclusively found in silicate minerals, which makes it a useful tracer for tracking the interaction between water and silicate minerals such as during chemical silicate weathering (Kisakürek et al., 2005; Pogge von Strandmann et al., 2006; Vigier et al., 2009; Millot et al., 2010b; Liu et al., 2015). In particular, tracking Li isotope fractionation is a powerful tool because the two stable Li isotopes (<sup>6</sup>Li, <sup>7</sup>Li) significantly fractionate during transformation of primary silicate minerals into secondary minerals (Zhang et al., 1998; Pistiner and Henderson, 2003; Vigier et al., 2008; Wimpenny et al., 2010). It is generally agreed that Li isotope fractionation is mainly associated with secondary mineral precipitation whereas Li isotopes dissolve stoichiometrically during (primary) silicate mineral dissolution (Pistiner and Henderson, 2003; Huh et al., 2004). Li isotope fractionation is also promising to track water-rock interaction at temperatures higher than those at the Earth's surface. Vigier et al. (2008) experimentally showed that at a temperature of 250°C the Li isotope

enrichment factor for structural Li incorporation into smectite is -1.6‰ and thus greater than the measurement uncertainty for Li isotope measurements. Moreover, Marschall et al. (2007) used a temperature dependent enrichment factor based on a compilation of other studies (Chan et al., 1993; Wunder et al., 2006) to simulate the fate of Li isotopes in subducting slabs suggesting that the Li isotope enrichment factor at 250°C is on the order of -6‰.

The numerous studies focusing on chemical silicate weathering at the Earth's surface demonstrate a large range in  $\delta^7\text{Li}$  (2-43‰) of dissolved Li, mostly from river waters (e.g., Huh et al., 1998; Kisakürek et al., 2005; Pogge von Strandmann et al., 2006; Millot et al., 2010b; Dellinger et al., 2015; Liu et al., 2015). Whereas the literature agrees that increasing the ratio of Li uptake by secondary minerals to Li release from primary mineral dissolution drives  $\delta^7\text{Li}$  to higher values, it is still under debate if and how temporal and spatial  $\delta^7\text{Li}$  distributions can be used as a proxy for geomorphic and/or climatic variations. In particular, the increasing seawater  $\delta^7\text{Li}$  values observed over the last ca. 56 Ma (Misra and Froelich, 2012) have been attributed either to increasing tectonic activities (Misra and Froelich, 2012; Li and West, 2014; Wanner et al., 2014) or to a decreasing soil production rate and thus to cooler climatic conditions (Vigier and Godd ris, 2015). In contrast to surface water samples,  $\delta^7\text{Li}$  in water from hydro-geothermal sites with temperatures of up to 335°C varies only in a narrow range of 0-11‰ (Chan et al., 1993; Chan et al., 1994; Millot and Negrel, 2007; Millot et al., 2010a; Henchiri et al., 2014; Sanjuan et al., 2014; Pogge von Strandmann et al., 2016). Despite this relatively narrow range, it was proposed that for such systems  $\delta^7\text{Li}$  may operate as a geothermometer to estimate the corresponding reservoir temperature. Also it was proposed to use  $\delta^7\text{Li}$  from hydro-geothermal sites as proxy for the origin of the hydrothermal fluid, and/or as proxy for the intensity of water-rock interaction (Millot and N grel, 2007; Millot et al., 2010a).

In this study, we present Li isotope measurements of groundwater collected during the construction of the 57 km long Gotthard rail base tunnel in Switzerland. Major anion and cation concentrations have been reported by Seelig and Bucher (2010) and Bucher et al. (2012). Our groundwater samples originate from water-conducting fractures within two specific crystalline rock units and show on-site temperatures of up to 43°C. The hydrogeochemical conditions are thus similar to those at future EGS sites although the temperature is significantly lower than the target EGS temperature of 180°C or greater. The main objective was to explore the use of Li isotope measurements to track water–rock interaction in an EGS-like system with temperatures higher than those at the Earth’s surface. Furthermore, an essential part of our study was to simulate Li isotope fractionation occurring in a fractured crystalline aquifer using the reactive transport modeling code TOUGHREACT V3 (Xu et al., 2014). In this context, TOUGHREACT was updated to allow defining a maximum amount of Li that can be incorporated into secondary minerals.

## **2. SITE DESCRIPTION AND SAMPLING**

The new 57 km long Gotthard rail base tunnel in Switzerland is the longest and deepest tunnel in the world. The tunnel crosses the Alps at a base level of ca. 500 m a.s.l. and its construction was divided into five sections, which were excavated separately by drilling vertical access shafts. Our study relates to the Amsteg section in the northern part of the tunnel (Fig 1). This 11.5 km long section was constructed between 2003 and 2006 using a 400 m long tunnel-boring machine (TBM). The section exclusively penetrates crystalline basement rocks of the Aar massif, which is a NE-SW trending complex of Variscan basement overprinted by Alpine metamorphism and deformation (Abrecht, 1994; Schaltegger, 1994; Labhart, 1999). The units

intersected along the Amsteg section show a similar mineralogical composition with dominating quartz, albite, K-feldspar and chlorite and minor amounts of biotite and muscovite as well as secondary calcite and accessory pyrite (Bucher et al., 2012). The thickness of the rock column above the tunnel is up to 2200 m (Fig. 1). According to Bucher et al. (2012), the chemical composition of groundwater samples at tunnel level is dominated by the infiltration of meteoric water at the surface and subsequent reaction with the fractured crystalline rocks during transport to the tunnel level. This infiltration model agrees with steeply dipping rock units (Fig. 1) and the presence of a predominant, nearly vertical fracture system. Preliminary  $\delta^2\text{H}$  and  $\delta^{18}\text{O}$  measurements indicate a dominating meteoric origin of groundwater collected. More information regarding the geology and hydrology of the Amsteg section is provided by Bucher and al. (2012) and references therein.

A total of 122 groundwater samples were collected from water conducting fractures. Groundwater samples were collected from natural inflows along fractures after these were cut by the TBM and before they were sealed with concrete. Due to the induced pressure drop, the water was flowing from the fractures with discharge rates between  $3 \times 10^{-4}$  L/s and 6 L/s. Based on differences in dissolved Li concentrations, 17 groundwater samples from two distinct geological units within the Amsteg section, the Bristner Granite and the migmatitic unit called BuMigIII (Fig. 1) were selected for the analyses of Li and Li isotopes. In addition, Li concentrations were determined on rock samples of the two units that were retrieved from cored test drillings performed in front of the TBM. At similar average water influx into the tunnel (Bucher et al., 2012), groundwater draining the Bristner Granite shows Li concentration between 1-4 mg/L and much lower concentrations of 0.01-0.02 mg/L in the BuMigIII.

### 3. METHODS

#### 3.1. Li concentration measurements

##### 3.1.1. Solid Li

Bulk rock Li concentrations were measured by atomic adsorption spectroscopy using a Vario 6 spectrometer from Analytic Jena at the University of Freiburg, Germany. To do so, cores retrieved from test drillings into the Bristner Granite and into BuMigIII were milled to a fine powder. For each sample, 0.1 g was digested in 5 mL 65% HNO<sub>3</sub> and 1 mL 33% H<sub>2</sub>O<sub>2</sub>. To ensure that the entire sample was digested, samples were exposed to 160°C for 6 minutes and to 215°C for 25 minutes using a MLS microwave. The analytical uncertainty was ±5%.

Selected trace element concentrations including Li of individual mineral phases and phase mixtures within the Bristner Granite and BuMigIII were measured by Laser ablation ICP-MS at the University of Bern, Switzerland, on polished thin sections (50 µm). The system at the University of Bern consists of a Geolas Pro 193 nm ArF Excimer laser (Lambda Physik, Germany) coupled with an ELAN DRCE quadrupole mass spectrometer (QMS; Perkin Elmer, USA). Details on the setup and optimization strategies are given in Pettke et al. (2012). Daily optimization of the analytical conditions were performed to satisfy a ThO production rate of <0.2 % (i.e., Th/ThO intensity ratio < 0.002) and to achieve robust plasma conditions monitored by a Th/U sensitivity ratio of 1 as determined on the SRM610 glass standard. External standardization was performed employing SRM610 from NIST with preferred values reported in Spandler et al. (2011), and bracketing standardization provided a linear drift correction. Internal standardization was done by summing the major element oxides to 100 wt% or 98-97 wt% for biotite and

hydrous mineral mixtures (containing muscovite, biotite, minor chlorite and  $\text{Fe}_2\text{O}_3$ ). Data were reduced using SILLS (Guillong et al., 2008), with limits of detection calculated for each element in every analysis following the formulation detailed in Pettke et al. (2012).

### *3.1.2. Dissolved Li*

Li concentrations available from the previous study (Bucher et al., 2012) were determined using a DX-120 ion chromatograph (IC), with a detection limit of 0.01 mg/L and an analytical uncertainty of 0.005 mg/L for concentrations below 0.1 mg/L. Because groundwater samples originating from BuMigIII displayed Li concentrations on the order of the detection limit (0.01–0.02 mg/L), Li concentration measurements were repeated for these samples using an Analytic Jena ContrAA 700 BU atomic adsorption spectrometer in the graphite furnace mode (GFAAS) at the University of Bern. Standardization was performed using the Merck 4 Certipur standard and tested with the Sigma 6 and Merck 4 Li single element standards. Within the standardization range of 1.25–5  $\mu\text{g/L}$ , the Li recovery was >90% yielding an analytical uncertainty of  $\pm 10\%$ . Reported Li concentrations are average values of triplicate analyses.

### **3.2. Li isotope measurements**

Lithium isotope measurements of groundwater samples were performed as detailed in Pogge von Strandmann and Henderson (2015) and Pogge von Strandmann et al. (2011). Briefly, this entailed running approximately 20 ng of Li through a two-step cation exchange column method, containing AG50W X-12 resin, and using dilute HCl as an eluent.

Samples were then analysed on a Nu Instruments HR MC-ICP-MS at Oxford University, by sample-standard bracketing with the standard L-SVEC. Individual analyses consisted of three



separate repeats of 10 ratios (10 s integration time per ratio), giving a total integration time of 300 s/sample during each analytical session. At an uptake rate of 75  $\mu\text{l}/\text{min}$ , the sensitivity for a 20 ng/ml solution is  $\sim 18$  pA of  $^7\text{Li}$  using  $10^{11} \Omega$  resistors. Background instrumental Li intensity, typically  $\sim 0.01$  pA, was subtracted from each measurement. Li isotope measurements are reported as  $^7\text{Li}/^6\text{Li}$  ratio in terms of the  $\delta$ -notation relative to the Li isotope standard L-SVEC ( $\delta^7\text{Li} = 0.0\text{‰}$ ) and are given in ‰:

$$\delta^7\text{Li} = \left[ \left( \frac{^7\text{Li}/^6\text{Li}_{\text{sample}}}{^7\text{Li}/^6\text{Li}_{\text{L-SVEC}}} \right) - 1 \right] \cdot 1000 \quad (1)$$

To assess accuracy and precision, both seawater and the international USGS standard BCR-2 were analysed. Both standards analysed with these samples (seawater:  $\delta^7\text{Li} = 31.5 \pm 0.4\text{‰}$ ; BCR-2:  $2.7 \pm 0.3\text{‰}$ ) agree well with their long-term averages (seawater:  $31.2 \pm 0.6\text{‰}$ ,  $n=46$ ; BCR-2:  $2.6 \pm 0.3\text{‰}$ ,  $n=17$ ; Pogge von Strandmann et al., 2011, Pogge von Strandmann and Henderson, 2015). The total procedural blank for Li isotopes is effectively undetectable ( $< 0.005$  ng Li).

### 3.3. Reactive transport modeling

A series of 1D reactive transport simulations using TOUGHREACT V3 (Xu et al., 2014) was performed to simulate the interaction of infiltrating meteoric water with granitic rock and its specific effects on aqueous  $\delta^7\text{Li}$ . TOUGHREACT has been previously applied to evaluate isotopic fractionation coupled to water-rock interaction and hydrological processes in a variety of subsurface environments and laboratory experiments (Sonnenthal et al., 1998; Singleton et al., 2005; Wanner and Sonnenthal, 2013). Specifically, it has been used to simulate Li isotope fractionation in granitic as well as basaltic systems (Wanner et al., 2014; Liu et al., 2015).

Furthermore, the TOUGHREACT approach for simulating isotopic fractionation coupled to mineral precipitation has been recently benchmarked (Wanner et al., 2015).

### 3.3.1. Model formulation

#### Mineral dissolution and precipitation

TOUGHREACT V3 (Xu et al., 2014) computes mineral dissolution and precipitation reactions (mol/s/kg<sub>H2O</sub>) as kinetic reactions based on transition state theory (TST) (Lasaga, 1984):

$$r = A_r \cdot k \cdot \left[ 1 - \left( \frac{Q}{K} \right)^m \right]^n = A_{rfrac} \cdot k \cdot \left[ 1 - \left( \frac{Q}{K} \right)^m \right]^n \quad (2)$$

where  $A_r$  refers to the mineral reactive surface area (m<sup>2</sup>/kg<sub>H2O</sub>). In case of fracture flow,  $A_r$  is assumed to be the same for each mineral (Xu et al., 2014) and corresponds to the reactive surface area of the simulated vertical fracture system  $A_{rfrac}$ .  $Q$  and  $K$  refer to the ion activity product and equilibrium constant of a mineral dissolution/precipitation reaction, respectively. Exponents  $m$  and  $n$  are fitting parameters that must be experimentally determined. However, for or this study, they were taken to be unity. The temperature and pH dependent rate constant  $k$  is formulated as:

$$k = k_{25}^n \exp \left[ \frac{-E_a^n}{R} \left( \frac{1}{T} - \frac{1}{298.15} \right) \right] + k_{25}^{ac} \exp \left[ \frac{-E_a^{ac}}{R} \left( \frac{1}{T} - \frac{1}{298.15} \right) \right] a_{H^+}^{m_{ac}} + k_{25}^{ba} \exp \left[ \frac{-E_a^{ba}}{R} \left( \frac{1}{T} - \frac{1}{298.15} \right) \right] a_{H^+}^{m_{ba}} \quad (3)$$

where  $k_{25}$  refers to reaction rate constants at 25°C (mol/m<sup>2</sup>/s),  $E_a$  is the activation energy (kJ/mol) and  $T$  and  $R$  are the temperature (K) and ideal gas constant, respectively. The superscripts  $n$ ,  $ac$  and  $ba$  denote neutral, acidic and basic conditions, respectively,  $a_{H^+}$  refers to the H<sup>+</sup> activity, and  $m_{ac}$  and  $m_{ba}$  refer to the reaction order with respect to H<sup>+</sup> (i.e., pH) at acidic and basic conditions, respectively. In order to calculate effective precipitation and dissolution rates (eqs. 2,3), reaction rate constants were defined according to the compilation of Palandri and Kharaka (2004) whereas equilibrium constants were taken from the Soltherm.H06 database (Reed and Palandri, 2006). Mineral stoichiometries, thermodynamic and kinetic parameters of minerals considered for our simulations are summarized in Table 1.

#### *Simulation of Li isotope fractionation*

The fate of individual Li isotopes was simulated according to the approach recently presented by Wanner et al. (2014). To do so, <sup>6</sup>Li and <sup>7</sup>Li were defined as primary aqueous species and were incorporated into the mineral stoichiometry of Li-bearing minerals. Similar to the model of Bouchez et al. (2013), our approach does not distinguish between Li exchange-, Li surface complexation or Li incorporation into a crystal. Accordingly, Li isotope fractionation is solely simulated during Li incorporation of dissolved Li into precipitating minerals. Besides the lack of fractionation factors distinguishing between Li incorporation, Li exchange and sorption, the low exchange capacity of crystalline rocks (Mazurek et al., 2003) is supportive to such assumption. Incorporation of Li in secondary clay minerals is limited and occurs only at trace concentrations in the ppm range (e.g., Tardy et al., 1972). The concentration differences by orders of magnitudes between major and trace elements in such secondary minerals may pose convergence problems due to the large differences in exponents in the ion-activity product

included in the rate law (eq. 2). To circumvent this problem, precipitation of Li-bearing secondary minerals was simulated defining a solid solution with three different endmembers (see Wanner et al., 2014): (i) a pure, non Li-bearing secondary mineral endmember, (ii) a pure  $^6\text{Li}$  endmember and (iii) a pure  $^7\text{Li}$  endmember. The pure  $^6\text{Li}$  and  $^7\text{Li}$  endmembers are hypothetical, but their specification solves the above mentioned numerical problems, and their  $\log(K)$  values are obtained by fitting to the observed aqueous Li concentration and the amounts of Li analyzed in secondary minerals. The precipitation rate  $r_{prec}$  of the solid solution of Li-bearing secondary minerals is then calculated as the sum of the individual endmember precipitation rates  $r_{2ndmin}$  (pure secondary mineral),  $r_{6Li}$  and  $r_{7Li}$ :

$$r_{prec} = r_{2ndmin} + r_{6Li} + r_{7Li} \quad (4)$$

The rate of a specific endmember,  $r_{endm}$ , is calculated according to a TST-like expression:

$$r_{endm} = A \cdot k \cdot \left(1 - \frac{Q_{endm}}{K_{endm}}\right) + k \cdot A \cdot (x_{endm} - 1) \quad (5)$$

where  $x_{endm}$  refers to the mole fraction of a specific secondary mineral endmember. For the hypothetical, pure  $^6\text{Li}$  and  $^7\text{Li}$  endmembers  $x_{6Li}$  and  $x_{7Li}$  are calculated according to:

$$x_{6Li} = \frac{(Q_{6Li} / K_{6Li})}{(Q_{6Li} / K_{6Li}) + (Q_{7Li} / K_{7Li}) + (Q_{2ndmin} / K_{2ndmin})} \quad (6)$$

$$x_{7Li} = \frac{(Q_{7Li} / K_{7Li})}{(Q_{6Li} / K_{6Li}) + (Q_{7Li} / K_{7Li}) + (Q_{2nd\ min} / K_{2nd\ min})} \quad (7)$$

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271 Equations (6) and (7) ensure that the amount of Li that is incorporated into a secondary  
 272 mineral reflects the Li concentration of the aqueous solution. Accordingly, the amount of Li  
 273 removed by precipitation increases with increasing aqueous Li concentration. By doing so, our  
 274 model is in agreement with an experimental study showing that Li concentrations of synthesized  
 275 smectites are correlated with corresponding aqueous Li concentrations (Decarreau et al., 2012).  
 276 Decarreau et al. (2012), however, also showed that the total amount of Li that can be  
 277 incorporated is limited due to structural reasons. We therefore updated TOUGHREACT so that  
 278 the user now has the option to specify the maximum amount of Li that is allowed to precipitate in  
 279 a given solid solution. To do so, the user needs to define the maximum Li mol fraction  $x_{maxLi} =$   
 280  $x_{6Li} + x_{7Li}$  that corresponds to a particular maximum Li content (e.g., in  $\mu\text{g/g}$ ) in a specific mineral  
 281 solid solution phase. For the pure (hypothetical)  $^6\text{Li}$  and  $^7\text{Li}$  endmembers, the maximum mol  
 282 fraction then become:

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$$x_{6Li\_max} = x_{maxLi} \frac{(Q_{6Li} / K_{6Li})}{(Q_{6Li} / K_{6Li}) + (Q_{7Li} / K_{7Li})} \quad (8)$$

$$x_{7Li\_max} = x_{maxLi} \frac{(Q_{7Li} / K_{7Li})}{(Q_{6Li} / K_{6Li}) + (Q_{7Li} / K_{7Li})} \quad (9)$$

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Subsequently,  $x_{6Li\_max}$  and  $x_{7Li\_max}$  are compared with  $x_{6Li}$  and  $x_{7Li}$  as calculated by equations (6) or (7). If  $x_{6Li} > x_{6Li\_max}$  and  $x_{7Li} > x_{7Li\_max}$  the precipitation rate of the pure  $^6Li$  and  $^7Li$  endmembers are no longer calculated according to equation (5) and become:

$$r_{6Li} = r_{pure2nd} \cdot x_{6Li\_max} \quad (10)$$

$$r_{7Li} = r_{pure2nd} \cdot x_{7Li\_max} \quad (11)$$

Using the solid solution approach described above allows defining an experimentally determined or otherwise inferred isotope fractionation factor  $\alpha$  and corresponding enrichment factor  $\varepsilon$  associated with mineral precipitation for a particular simulation run:

$$\alpha = \frac{K_{6Li}}{K_{7Li}} \quad (12)$$

$$\varepsilon = (\alpha - 1) \cdot 1000 \approx \Delta^7Li = \delta^7Li_{2ndMin} - \delta^7Li_{solution} \quad (13)$$

It should be noted that our approach for simulating Li isotope fractionation is different from other approaches (Lemarchand et al., 2010; Bouchez et al., 2013; Pogge von Strandmann et al., 2014). Instead of exclusively focusing on the Li system, we use a fully-coupled reactive transport modeling code (see Steefel et al., 2015 for a summary of available codes). In doing so, our approach may simulate the chemical evolution of an entire porous media and parameters other than aqueous Li concentrations and  $\delta^7Li$  values can be used to constrain the model as well.

## 4. RESULTS

### 4.1. Solid [Li] measurements

Li concentration data of primary minerals and mineral mixtures from the Bristner Granite and the migmatitic unit BuMigIII are summarized in Table 2. The full chemical analysis of each laser ablation ICP-MS measurement is listed in the electronic appendix. Bulk rock Li concentrations average at 6 for the Bristner Granite and 9  $\mu\text{g/g}$  for BuMigIII. In both units, elevated average Li concentrations of 463 (Bristner Granite) and 217  $\mu\text{g/g}$  (BuMigIII) were observed in sheet silicates such as chloritized biotite and muscovite. Further differences between the two units include higher Li concentrations in K-feldspar (45  $\mu\text{g/g}$ ) of the BuMigIII compared to those in K-feldspar (2.4  $\mu\text{g/g}$ ) of the Bristner Granite (Table 2).

### 4.2. Aqueous Li concentrations and $\delta^7\text{Li}$ values

Concentrations and  $\delta^7\text{Li}$  values of aqueous Li of 17 groundwater samples originating from the Bristner Granite and BuMigIII are presented in Table 3 in conjunction with field parameters and major cations and anions concentrations reported in Bucher et al. (2012). Computed saturation indices of selected primary and secondary minerals are listed in Table 4. Groundwater temperature correlates with the thickness of the rock column above the tunnel. In the Bristner Granite, groundwater discharges at temperatures from 21.5 to 25.1°C at a corresponding rock overburden of <500 m. In the BuMigIII groundwater, temperature is remarkably constant (42.6-43.7°C) at a corresponding overburden of ca. 2000 m, except for sample A103 (36.7°C), which also displays differences in the chemical composition (e.g., Ca, Mg, pH). Groundwater sample A126 displays strongly elevated  $\text{SO}_4$  and Ca concentrations compared to the majority of

BuMigIII groundwater (Table 3). In accordance with the anhydrite saturation index close to zero (Table 4), this was attributed to anhydrite dissolution during flow along anhydrite-bearing fractures (Bucher et al., 2012). Aqueous Li concentrations vary between 1.6 and 3.2 mg/L in groundwater from the Bristner Granite compared to 0.010 and 0.017 mg/L in groundwater from the BuMigIII. The orders of magnitude difference in Li concentration is striking given the similarity of the bulk rock Li concentrations, which differ only by a factor of about 1.5 (Table 2).

Similar to aqueous Li concentrations,  $\delta^7\text{Li}$  values of groundwater from the two units show a distinct behavior. The high-Li groundwaters of the Bristner Granite show very little variation in their  $\delta^7\text{Li}$  values (8.5-9.1‰). In contrast, the low-Li groundwaters from BuMigIII show a very strong variation (10-41‰). These latter groundwaters display a positive correlation between  $\delta^7\text{Li}$  values and pH but a negative correlation between  $\delta^7\text{Li}$  and aqueous Li concentrations and the molar Li/Na ratio, except for the exceptional groundwater samples A103 and A126, as discussed above (Fig. 2a-c). Moreover,  $\delta^7\text{Li}$  and Li seem to follow a Rayleigh-type fractionation model with  $\alpha = 0.95$  ( $\epsilon = -50\text{‰}$ ) (Fig. 2d), suggesting a single process being responsible for the observed variation in  $\delta^7\text{Li}$  values.

## 5. DISCUSSION

### 5.1. Source of aqueous Li

Seelig and Bucher (2010) demonstrated that Cl is a passive tracer in groundwater of the Amsteg section of the Gotthard rail base tunnel and suggested that Cl is derived from porewaters trapped in the matrix of the crystalline rocks. Further, they proposed that the trapped porewater represents the remnants of a hydrothermal fluid that evolved during alpine metamorphism.



Interestingly, in the Bristner Granite groundwater, Li concentrations correlate well with Cl concentrations ( $r^2=0.95$ , Fig. 3) inferring that Li is likely derived from the same porewater source as Cl. This observation is important because it demonstrates that meteoric water infiltrating into a crystalline basement may pick up Li from sources other than from interaction with rock forming minerals.

In contrast to the Bristner Granite groundwater, Cl and Li concentrations in BuMigIII groundwater are orders of magnitude lower and no clear correlation is observed (Table 3). The molar Cl/Li ratio, however, is of the same order of magnitude as recorded for the Bristner Granite water samples (10-30). This observation suggests that a porewater of a similar composition may form a major Li source for BuMigIII groundwater as well. Alternative Li sources are the dissolution of Li-bearing minerals or mixing of the infiltrating meteoric water with an external groundwater (i.e, originating from another lithology) characterized by an elevated Li concentration and a similar Li/Cl ratio as observed in the Bristner Granite groundwater.

## **5.2. Secondary minerals**

Lithium uptake by precipitation of Fe- and Al-bearing secondary mineral or adsorption of Li to these minerals have been proposed to form the major process for Li isotope fractionation observed in the shallow subsurface (Zhang et al., 1998; Pistiner and Henderson, 2003; Vigier et al., 2008; Wimpenny et al., 2010). Based on computed saturation indices (Table 4), kaolinite is the only secondary Al-bearing secondary phase that is potentially precipitating from the BuMigIII groundwater under the given condition ( $SI>0$ ), whereas the Bristner Granite groundwater is supersaturated with respect to gibbsite and illite as well. To assess the

precipitation of kaolinite from BuMigIII groundwater, it has to be acknowledged that the equilibrium constant of kaolinite is associated with a large uncertainty (Trotignon et al., 1999), which is reflected by its changing saturation state in BuMigIII groundwater when using different thermodynamic databases (Table 4). The range of  $\log(K)$  values for kaolinite tabulated in different thermodynamic databases is at least partly related to differences in solubility experiments (e.g. acid vs alkaline conditions, temperature), but also to analytical difficulties (e.g. Al and Si colloids). Figure 4 shows the relation of the kaolinite equilibrium constant tabulated in the Soltherm.H06 (Reed and Palandri, 2006) and EQ3/6 database (Wolery, 1992). Temperature dependent  $\log(K)$  tabulated in the Soltherm.H06 database reproduces well  $\log(K)$  values derived from kaolinite solubility experiments performed at temperatures of 60, 90 and 110°C and at similar pH values, as observed in our system (up to pH 9) (Devidal et al., 1996). In contrast, temperature dependent  $\log(K)$  values derived from the EQ3/6 database (Wolery, 1992) overestimate the Devidal et al. (1996) values. However, they are within the range of kaolinite solubilities determined for temperatures between 22-25°C (Polzer and Hem, 1965; Kittrick, 1966; Reesman and Keller, 1968; May et al., 1986; Yang and Steefel, 2008) and the solubility experiment performed by Nagy et al., (1991) at 80°C and pH 3. Overall, computed saturation indices (Table 4) and thermodynamic considerations (Fig. 4) support the use of the Soltherm.H06 database and suggest that kaolinite is the dominating secondary Al phase precipitating from the BuMigIII groundwater. The restricted access to water-conducting fractures, however, did not allow confirmation of the presence of kaolinite although it has been observed as low-T alteration product in various crystalline rock environments (e.g., Grimaud et al., 1990; Michard et al., 1996; Gimeno et al., 2014).

Our groundwater samples display dissolved oxygen concentrations below detection limit demonstrating that reducing conditions are established. Under reducing conditions, the formation of Fe(III)-oxides such as goethite, ferrihydrite, lepidocrocite, and hematite is absent (Schwertmann, 1988). Accordingly, their formation and corresponding sorption reactions are restricted to the domain very close to the surface where the dissolved oxygen concentration in infiltrating meteoric water is in equilibrium with atmospheric oxygen. The limited formation of Fe-bearing secondary minerals infers that Li isotope fractionation is primarily caused by Li uptake by kaolinite (BuMigIII) or other Al-bearing secondary minerals (Bristner Granite).

### **5.3. Diffusive Li isotope fractionation and anthropogenic Li contamination**

If Li influx into the fracture groundwater were occurring entirely by diffusion from a porewater Li source, diffusive fractionation is a likely process to cause a  $\delta^7\text{Li}$  variation in addition to Li uptake by secondary minerals (Richter et al., 2006; Bourg and Sposito, 2007). Owing to the difference in mass, the diffusion coefficient of  $^6\text{Li}$  is larger than that for  $^7\text{Li}$  leading to enrichment in  $^6\text{Li}$  (low  $\delta^7\text{Li}$ ) in the groundwater. Accordingly, diffusive Li isotope fractionation would partially mask the  $\delta^7\text{Li}$  increase inherited from Li isotope fractionation associated with secondary mineral precipitation. Indeed, it would yield an even higher intrinsic fractionation factor than the -50‰ obtained from the Rayleigh-type model (Fig. 2d).

In contrast to diffusive Li isotope fractionation, anthropogenic contamination of Li can be excluded based on the infiltration area in remote high-alpine area and the high hydraulic pressure of about 100 bar at the discharge locations (Masset and Loew, 2013). Also, if any artifacts occurred during sampling, major species concentrations were affected as well and their

concentrations could not be approximated by solely simulating water-rock interaction between meteoric water and pristine granite such as shown below.

#### **5.4. Hypothesis for observed $\delta^7\text{Li}$ variation**

The most striking observation from our measurements is that significant  $\delta^7\text{Li}$  variation only occurs at low Li concentrations, suggesting that the variation is highly sensitive to the aqueous Li concentration. The second key observation is the negative correlation between  $\delta^7\text{Li}$  and the Li concentration as well as the positive correlation between  $\delta^7\text{Li}$  and pH seen in the BuMigIII groundwater (Fig. 2a,b). Our approach is to first explore the process(es) controlling the Li system in a single hydrogeochemical system such as the BuMigIII groundwater, before comparing the different settings (BuMigIII vs. Bristner Granite).

The BuMigIII groundwaters likely have different residence times within the rock column above the tunnel due to a variation in fracture permeability and connectivity. Variable residence times are consistent with the 2 orders of magnitude variation in flow rates (Table 3) and the observed trends in chemical and Li-isotope composition (Fig. 2a,c). In granitic systems, the pH increases with reaction progress (Nordstrom et al., 1989; Grimaud et al., 1990; Bucher et al., 2012; Gimeno et al., 2014) and the Li/Na ratio may form an excellent proxy for the degree of water-rock interaction (e.g., residence time, reaction progress) that inversely correlates with  $\delta^7\text{Li}$  (Liu et al., 2015). The Li/Na ratio is indicative because Na concentrations are several orders of magnitude greater than Li concentrations, and thus not as strongly affected by minor uptake by secondary mineral precipitation (e.g., kaolinite). In case of a discrete Li influx such as from an external groundwater source (Fig. 5b), Na release from primary minerals is ongoing, which also yields a decrease of the Li/Na ratio with flow distance and reaction progress.

Higher residence time of groundwater results in increased water-rock interaction and thus increased precipitation of Li-bearing kaolinite, which drives  $\delta^7\text{Li}$  to higher values (Wanner et al., 2014). Observing a negative correlation between  $\delta^7\text{Li}$  and the Li concentration must thus be inherited from the spatial release rate of the actual Li source along the infiltration path in relation to the corresponding Li uptake rate by secondary minerals. The amount of data to quantitatively assess the role of the potential Li sources (Li-bearing minerals, porewater, external groundwater), however, are limited. Therefore and in terms of a sensitivity analyses, a series of reactive transport model simulations were performed by varying the Li source as well as the parameters controlling the Li system in order to unravel how these parameters affect aqueous  $\delta^7\text{Li}$  values and Li concentrations in the BuMigIII groundwater.

## **6. REACTIVE TRANSPORT MODELING**

### **6.1. Model setup**

Reactive transport model simulations were performed for a simplified vertical, fully-saturated 2000 m long 1D flow path consisting of 1000 grid blocks of 2 m length (Fig. 5). A fixed linear temperature gradient of 10 to 43°C was specified from the upstream to the downstream model boundary. With these specifications, the model represents the geometry where the tunnel intersects with BuMigIII. In contrast to other studies (DePaolo, 2006; Waber et al., 2012; Brown et al., 2013), our model does not explicitly consider diffusion between water flowing along fractures and porewater residing in the intact rock matrix. In doing so, we exclusively simulate reactive transport along one particular fracture system. The porosity and permeability relevant for fluid flow in fractured crystalline rocks depend on the fracture spacing

and aperture (Caine and Tomusiak, 2003; MacQuarrie and Mayer, 2005; Sonnenthal et al., 2005). None of these parameters are explicitly known for the present system so that individual simulations were run at a constant flow rate using a fixed fracture porosity of 1% (Fig. 5). To account for the unknown residence time within the rock column above the tunnel, simulations were run for flow velocities ranging from 0.2 to 20 m/year, which approximately reflect the variation of flow rates observed for the BuMigIII samples (0.01-2.5 L/s). The specified velocity range also covers the range in hydraulic conductivity ( $3\text{-}7 \times 10^{-8}$  m/s) reported for fracture zones along the nearby Sedrun section of the tunnel (Masset and Loew, 2013).

## **6.2. Initial and boundary conditions**

Water in equilibrium with atmospheric CO<sub>2</sub> and O<sub>2</sub> was specified as initial and upper boundary condition to simulate the infiltration of meteoric water into the BuMigIII rock column above the tunnel (Table 5). The solid part of the model domain is given by the granitic composition of the BuMigIII rock (Table 5). Plagioclase has only a minor anorthite component and pure albite was used in the calculations. In contrast, biotite and chlorite were defined as solid solutions between the corresponding Fe (annite and chamosite) and Mg endmembers (phlogopite and clinocllore) according to the analyzed Mg/Fe ratios (el. appendix).

### *6.2.1. Li source and secondary minerals*

Li was introduced by defining two different Li sources: (i) Li-bearing biotite with a Li concentration of 217 µg/g as measured in chloritized biotite of the BuMigIII rock (Table 2) and (ii) a hypothetical Li<sub>0.04</sub>Na<sub>0.96</sub>Cl solid phase that acts either as a proxy for matrix porewater or an external, ad-mixed groundwater Li source. The Cl/Li ratio of 25 defined for this hypothetical

phase corresponds to the ratio obtained from the linear correlation between Cl and Li observed for Bristner Granite groundwater (Fig. 3), while charge balance was maintained by including Na. An initial  $\delta^7\text{Li}$  value of 1.7‰ was assigned to Li-bearing biotite, corresponding to the average  $\delta^7\text{Li}$  value determined for a large series of different granites (Teng et al., 2009). In contrast, the average Bristner Granite groundwater  $\delta^7\text{Li}$  value of 8.7‰ (Table 3) was assigned to the hypothetical  $\text{Li}_{0.04}\text{Na}_{0.96}\text{Cl}$  solid phase based on our concept that Li in the BuMigIII is derived from a similar source as in the Bristner Granite.

While all simulations considered the Li-bearing biotite source, two scenarios were run for the  $\text{Li}_{0.04}\text{Na}_{0.96}\text{Cl}$  source. The first scenario considered a constant zero order dissolution rate of  $2 \times 10^{-15}$  mol/kg<sub>H<sub>2</sub>O</sub>/s occurring along the entire model domain and corresponding to a Li influx from a porewater source (continuous Li influx scenario, Fig. 5a). This yields a Li concentration of 0.017 mg/L at the tunnel level what corresponds to the maximum concentration observed in BuMigIII groundwater samples (Fig. 2). The second set considered a single point Li influx after an arbitrary flow distance of 400 m and simulates a situation where Li in the BuMigIII groundwater is ad-mixed by an external fracture groundwater to the infiltrating meteoric water (mixing scenario, Fig. 5b). To do so, the Li concentration of the simulated groundwater mixture was set to 0.017 mg/L at  $z = -400\text{m}$ .

Kaolinite is the only secondary Al-phase precipitating in our model and Li uptake by kaolinite is the only process to cause Li isotope fractionation. Based on the observation that the  $\text{SiO}_2$  concentrations of the 122 tunnel waters are solubility-controlled by quartz above a pH of ca. 9 (Bucher et al., 2012), secondary quartz was allowed to precipitate as well. The same applies for goethite.

### 6.2.2. Sensitivity analyses

Our approach for simulating Li isotope fractionation allows defining a Li isotope fractionation factor as well as a maximum amount of Li that can be incorporated in secondary minerals. Since both parameters are unknown, simulations were run for Li isotope enrichment factors of -25, -37.5 and -50‰ associated with Li uptake by kaolinite and for maximum Li concentrations in kaolinite of 25, 50 and 75 µg/g to test their impact on the model results. Whereas these maximum Li concentrations cover the Li concentration range observed in natural kaolinite (Tardy et al., 1972; Vigier and Godd  ris, 2015), Li isotope enrichment factors of -37.5 and -50‰ are outside the range inferred so far for secondary mineral precipitation ( $\approx$  -10 to -30‰) (Zhang et al., 1998; Huh et al., 2001; Pistiner and Henderson, 2003; Kisak  rek et al., 2005; Pogge von Strandmann et al., 2006; Vigier et al., 2008; Pogge von Strandmann et al., 2010). The range in  $\epsilon$  was expanded to higher values because the Li concentration and isotope data from BuMigIII groundwater revealed an  $\epsilon$ -value of -50‰ when applying a Rayleigh-type model (Fig. 2d). A temperature effect on epsilon such as observed for hydro-geothermal system (Marschall et al., 2007; Vigier et al., 2008; Verney-Carron et al., 2015; Pogge von Strandmann et al., 2016) was not considered because within the temperature range of our model (10-43  C), it is likely smaller than the uncertainty of  $\epsilon$ -values reported for Li uptake by secondary minerals as well as the  $\epsilon$ -range considered in the sensitivity analyses. Additional sensitivity simulations included a reduction of the kaolinite precipitation rate constant by 67% and 33% of the initially chosen value (base case, Table 1), a variation of the  $\delta^7\text{Li}$  value specified for the  $\text{Li}_{0.04}\text{Na}_{0.96}\text{Cl}$  phase and a variation of the location of the input of the external groundwater Li source. An overview of the simulated parameter combinations is given in Table 6.



### 6.3. Model results and discussion

#### 6.3.1. General system behavior

The general water-rock interaction progress along the 2000 m model domain is shown for a general situation where the system is reactive enough to approach chemical equilibrium within the model domain (Fig. 6). The profiles shown in Figure 6 are referred to such a general reaction progress because it depends on the product of two unknown parameters such as reactive fracture surface area ( $A_{rfrac}$ ) and residence time (eq. (2)). If the system was more or less reactive, the profiles except the temperature profile would be horizontally shifted towards lower or greater distances, respectively, whereas the general profile shape would remain similar.

The relative change in mineral volume fraction demonstrates (Fig. 6c) that albite dissolution and kaolinite precipitation are driving the general chemical system in addition to quartz and calcite precipitation, as previously described for low-T crystalline groundwater environments (Nordstrom et al., 1989; Grimaud et al., 1990; Trotignon et al., 1999; Gimeno et al., 2014), and as reflected by the calculated mineral states (Table 4). In contrast, other primary minerals (K-feldspar, chlorite, biotite, muscovite) show only minor volume changes whereas goethite formation is restricted to the first grid block where pyrite is oxidized by oxygen dissolved in the infiltrating meteoric water (Fig. 5). A prominent feature typical for the evolution of crystalline groundwaters is the strong increase in pH from about 8.5 to 9.7, which at the considered reaction progress occurs between 1000 to 1500 m along the model domain (Fig. 6a). The increase in pH is correlated to the maximum albite dissolution and kaolinite formation (Fig. 6c). Across this interval, coupled albite dissolution and kaolinite precipitation is thus the main reaction governing the pH increase:



The actual driving force for reaction (14) is the supply of protons by the dissolution and dissociation of atmospheric  $\text{CO}_2$ :



Prior to the strong pH increase, the system is controlled by calcite, i.e, by the initial dissolution and subsequent precipitation caused by the calcite solubility decrease with increasing temperature ( $\text{Ca}^{2+}_{(\text{aq})} + \text{HCO}_3^{-}_{(\text{aq})} = \text{CaCO}_{3(\text{s})} + \text{H}^+$ ) (Fig. 6c). As the  $\text{Ca}^{2+}$  and  $\text{HCO}_3^-$  concentrations decrease due to continuous calcite precipitation along the flow path, calcite precipitation is diminished (Fig. 6b,c) and the pH is no longer controlled by calcite precipitation. At this point, coupled albite dissolution and kaolinite precipitation is accelerated by the pH dependence of the corresponding rates (*cf.* eq (3), Table 1) as well as by the linear temperature increase along the flow path (Fig. 6a). As the system approaches equilibrium with respect to albite (Fig. 6d), further albite dissolution and subsequent kaolinite precipitation are slowed down and the pH becomes controlled by the speciation of dissolved Si ( $\text{H}_4\text{SiO}_4 = \text{H}_3\text{SiO}_4^- + \text{H}^+$ ), which becomes important above pH 9-9.5.

The maximum in coupled albite dissolution and kaolinite precipitation is reflected by the Na concentration profile showing a maximum increase along the same interval as the strong pH increase (Fig. 6b). In contrast, dissolved Si is controlled by the solubility of quartz, which strongly increases above pH 9 when  $\text{H}_3\text{SiO}_4^-$  becomes the dominant Si species. Owing to the

linear temperature increase (Fig. 6a),  $\text{Na}^+$ ,  $\text{Al}^{3+}$  and Si concentrations are still increasing at the downstream model boundary (Fig. 6b) because the solubility of albite and quartz are increasing with temperature (Table 1). In turn, the pH slightly drops towards the model boundary because of the temperature-dependence of the equilibrium pH of the simulated granitic system.

#### *Behavior of Li*

The modeled Li concentration of  $\sim 0.6 \mu\text{g/L}$  inherited from biotite dissolution at the tunnel level is very low (not shown) and demonstrates that only minor amounts of aqueous Li can be derived from interaction between the infiltrating meteoric water and the BuMigIII rocks. Such a minor Li contribution is consistent with a molar Mg/Li ratio in the BuMigIII water samples ( $<14$ , Table 3) that is much lower than that in chloritized biotite forming the primary Li host of the BuMigIII rock (ca. 65-85, Table 2). An additional Li input such as from the matrix porewater, an external groundwater or an unknown mineral source is thus required to explain the observed Li concentrations (Table 3).

For both Li influx scenarios modeled with the hypothetical  $\text{Li}_{0.04}\text{Na}_{0.96}\text{Cl}$  phase (Fig. 5), the model yields a strong  $\delta^7\text{Li}$  increase between 1000-1500 m (Fig. 7a,b). At the considered reaction progress, this interval corresponds to the maximum in kaolinite precipitation (Fig. 6c). This suggests that Li isotope fractionation is coupled to kaolinite precipitation and that  $\delta^7\text{Li}$  values are highly sensitive to the amount of kaolinite precipitation. Consequently, when kaolinite precipitation slows down towards the downstream model boundary, the  $\delta^7\text{Li}$  increase slows down as well (Fig. 7b) or even starts to decrease (Fig. 7a) depending on the simulated scenario.

For the continuous Li influx scenario (Fig. 5a), the Li concentration increases over most part of the domain because the Li influx outpaces the Li uptake by secondary kaolinite

precipitation, except for a short interval where kaolinite precipitation is maximal (Fig. 7a). Consequently, for this scenario,  $\delta^7\text{Li}$  is positively correlated to the Li concentration with the exception of the downstream model boundary where  $\delta^7\text{Li}$  is decreasing (Fig. 7a,c) because the Li load in the fracture fluid becomes dominated by the ongoing Li influx with a  $\delta^7\text{Li}$  of 8.7‰. For the mixing scenario (Fig. 5b), the Li concentration decreases after the single point Li input yielding throughout a negative correlation with  $\delta^7\text{Li}$  (Fig. 7b,c).

### 6.3.2. Application to BuMigIII groundwater

The observation that only the mixing scenario yields a negative correlation between  $\delta^7\text{Li}$  and the Li concentration, as observed in BuMigIII groundwater (Fig. 2), suggests that Li is inherited from a discrete rather than from a continuous Li influx. Whereas mixing with an external groundwater is a likely candidate for single point Li influx, it is also possible that it occurred from a not yet identified rock type enriched in Li-bearing mineral(s), with a composition that is significantly different from that of the BuMigIII rock exposed at the tunnel level.

To further apply the mixing scenario (Fig. 5b) to the BuMigIII system, the model was run for a variable reaction progress along the 2000 m long flow path by varying the flow velocity while keeping the reactive fracture surface area constant. Consequently, steady state major species concentrations computed for the tunnel level ( $z = -2000$  m) are plotted against the pH to illustrate their dependence on the overall reaction progress and to compare them with measured BuMigIII groundwater data (Fig. 8). Although the fits are not perfect, the fact that the order of magnitude is reproducible suggests that the model captures the governing mineral reactions.

Differences between modeled and observed concentrations are likely inherited from the assumptions of constant flow velocity and homogeneous mineralogy along the flow path.

#### *Li system*

The sensitivity of our model parameters on the Li system was tested by comparing measured  $\delta^7\text{Li}$  and Li concentrations with computed steady state values obtained at the tunnel level for a variable reaction progress along the flow path and for 7 combinations of Li isotope enrichment factors, maximum Li concentrations in kaolinite, and kaolinite precipitation rate constants. Figure 9 illustrates that all varied parameters have an effect on the Li concentration and/or on  $\delta^7\text{Li}$ . Higher amounts of Li incorporation into kaolinite as well as higher amounts of kaolinite precipitation increase the  $\delta^7\text{Li}$  value and decrease the Li concentration for a given reaction progress (i.e., pH) because both parameters increase the ratio between the Li uptake by kaolinite and the external Li input. Increasing the Li isotope enrichment factor also yields an increase in the  $\delta^7\text{Li}$  value for a given reaction progress (i.e., pH), but does obviously not change the Li concentration. The sensitivity analyses carried out for the location of the single point Li influx yielded only minor dependence as long as it occurred before the system evolved to the maximum kaolinite formation rate (not shown).

In general, simulations performed for the mixing scenario approximate the observed pH dependence of measured  $\delta^7\text{Li}$  values (Fig. 9), although in a non-linear manner, and the observed Li concentrations can be reproduced. The best match between modeled and measured data is obtained for a Li isotope enrichment factor of -50‰, a maximum Li concentration in kaolinite of 75  $\mu\text{g/g}$  and a slightly reduced kaolinite precipitation rate constant (i.e., simulation M7; Fig. 9). A similar fit, however, is obtained when setting the  $\delta^7\text{Li}$  value of the single point Li source to 1.7

‰ while limiting the amount of Li in kaolinite to 50 µg/g (Fig. 10). The observation that different parameter combinations result in similar good fits demonstrates that the system is under-determined and that not all parameters affecting the Li system can be calibrated in a quantitative way. The observed negative correlation between  $\delta^7\text{Li}$  and Li concentration, however, can only be approximated if the Li isotope enrichment factor is as high as -50‰ (Fig. 9). An enrichment factor of -50‰ also corresponds to that obtained from the Rayleigh-type model (Fig. 2d). This is an interesting observation because  $\epsilon = -50\text{‰}$  is clearly outside the range of Li isotope enrichment factor reported or inferred for secondary mineral precipitation ( $\approx -10$  to  $-30\text{‰}$ ) (Zhang et al., 1998; Huh et al., 2001; Pistiner and Henderson, 2003; Kisakürek et al., 2005; Pogge von Strandmann et al., 2006; Vigier et al., 2008; Pogge von Strandmann et al., 2010), although vigorous determination of the temperature-dependence of Li isotope fractionation involving mineral precipitation have so far only been reported for Li incorporation during smectite precipitation (Vigier et al., 2008). Since a contribution from diffusive Li isotope fractionation would yield an even higher intrinsic enrichment factor and an anthropogenic Li contamination is unlikely, the reason for the high apparent Li isotope enrichment factor is unclear and further research is required to unravel whether this is related to the slow flow rates and/or alkaline conditions of our system.

Overall, our model results reveal that the large  $\delta^7\text{Li}$  variation observed in BuMigIII groundwater samples (10-41‰) are related to their pH range of 9.3 to 9.8. Over this pH window, the amount of coupled albite dissolution and kaolinite precipitation is at its maximum (Fig. 6). Consequently, a variation in reaction progress along the infiltration path (e.g., variable residence time) strongly affects the amount of Li-bearing kaolinite precipitation and thus the  $\delta^7\text{Li}$  value. Simulation results also reveal that the observed Li isotope fractionation is independent of the

origin of Li (ad-mixing of external groundwater, in-diffusion from porewater, dissolution of unidentified minerals) as long as this addition occurred before the infiltrating meteoric water evolved to its maximum kaolinite formation. This latter point further argues against a continuous addition of Li by diffusion from the porewater as there is no reason why such addition should stop at a certain point along the flowpath in the same rock unit.

### *6.3.3. Application to Bristner Granite groundwater*

Based on the finding that the mixing scenario (Fig. 5b) can explain the  $\delta^7\text{Li}$  variation observed in BuMigIII samples (Table 3), the same modeling approach was used to explore the sensitivity of  $\delta^7\text{Li}$  on the Li concentration and to particularly test whether the absence of  $\delta^7\text{Li}$  variation in Bristner Granite groundwater is simply caused by its high Li concentration (Table 3). To do so, the mixing scenario (Fig. 5b) was run by setting the Li concentration of the simulated groundwater mixture to 0.017, 0.08, 0.17, and 1.7 mg/L, whereas the other parameters were kept constant at the values used in simulation M7 (Table 6). As can be seen from Figure 11, the simulated  $\delta^7\text{Li}$  values strongly depend on the Li concentration in the groundwater. At a Li concentration representing the range of Bristner Granite groundwater (1.7 mg/L), the predicted  $\delta^7\text{Li}$  value does not differ from the value specified for the single point Li influx ( $\delta^7\text{Li}=8.7\text{‰}$ ). A change in the  $\delta^7\text{Li}$  values is only obtained if the Li concentration in the groundwater is lowered by a factor of 10 or more. The reason for these effects lies in the amount of Li that was allowed to be incorporated into precipitating kaolinite (75  $\mu\text{g/g}$ ). Accordingly, the ratio between Li that is incorporated into kaolinite and Li obtained from the single point influx decreases with increasing Li concentration in such influx. Because this ratio is also reflected in the  $\delta^7\text{Li}$  value, the computed  $\delta^7\text{Li}$  values become lower as the concentration of Li from the influx increases. The

ability of the model to predict the absence of  $\delta^7\text{Li}$  at high Li concentration supports the existence of a maximum amount of Li that can be structurally incorporated into precipitating kaolinite, which is in agreement with mineralogical and experimental findings (Tardy et al., 1972; Decarreau et al., 2012; Vigier and Godd  ris, 2015). Such limitation further constitutes the likely reason for the low  $\delta^7\text{Li}$  values and absence of  $\delta^7\text{Li}$  variation observed for the Bristner Granite groundwater where the Li concentration is up to 500 times higher than in BuMigIII groundwater (Table 3).

## **7. IMPLICATIONS FOR USING $\delta^7\text{Li}$ AS A WATER-ROCK INTERACTION PROXY**

The comparison between analytical and simulation results confirms that for a relatively simple hydrological system, aqueous  $\delta^7\text{Li}$  is controlled by the cumulative amount of Li-bearing secondary mineral formation in relation to the Li release from primary mineral or other Li sources (Wanner et al., 2014; Pogge von Strandmann et al., 2016). Because secondary mineral formation is coupled to the dissolution of primary minerals,  $\delta^7\text{Li}$  may be used in conjunction with major species concentrations to estimate mineral reaction rates using modeling approaches such as the one presented here. However, even for mono-lithological systems, an accurate quantification of these rates based on  $\delta^7\text{Li}$  remains challenging. The first issue is that  $\delta^7\text{Li}$  values are strongly affected by the reactivity of system (Fig. 9), which is controlled by the flow velocity (or the residence time, respectively), and the reactive surface areas. Secondly, dissolution and precipitation rates of silicate minerals are highly pH and temperature dependent (Fig. 6), demonstrating that the spatial temperature and pH distribution must be known to constrain reaction rates for a given flow system. Finally, our sensitivity analyses suggest that aqueous  $\delta^7\text{Li}$  values are controlled by the amount of Li that can be taken up by secondary minerals, as well as



the corresponding fractionation factor (Fig. 9). None of these parameters are fully characterized for the entire suite of Li bearing secondary minerals. For well-constrained hydrogeological systems, however, the listed challenges are likely resolved in the future once more experimental data will become available. Our model results based on actual data from a crystalline groundwater environment at elevated temperatures and including kaolinite precipitation indicate that  $\delta^7\text{Li}$  values might be especially useful to better constrain the formation rate of secondary minerals for which kinetic data are still scarce (Yang and Steefel, 2008 and references therein). Moreover, the strong  $\delta^7\text{Li}$  variation observed at temperatures above those at the Earth's surface (Table 3) demonstrates the potential for using  $\delta^7\text{Li}$  as water-rock interaction proxy at elevated temperatures. If the sensitivity of  $\delta^7\text{Li}$  on the Li concentration, however, is as high as inferred from Figure 11, the use of  $\delta^7\text{Li}$  to constrain mineral reaction rates is restricted to systems with low Li concentrations, which does usually not apply for hydro-geothermal systems (Chan et al., 1994; Millot and Négrel, 2007; Millot et al., 2010a; Sanjuan et al., 2014; Sanjuan et al., 2016).

In contrast to well-defined systems, we suspect that quantifying continental silicate weathering rates through time based on the Cenozoic seawater  $\delta^7\text{Li}$  record and the reconstructed riverine  $\delta^7\text{Li}$  evolution (Misra and Froelich, 2012; Li and West, 2014; Vigier and Godd  ris, 2015) will remain challenging. Because rivers are characterized by specific subsurface residence time distributions, the identified sensitivity of  $\delta^7\text{Li}$  on the subsurface residence time implies that an accurate estimation requires capturing of subsurface residence time variations through time (e.g., discharge variations) under a changing climate and at an increasing tectonic activity (Misra and Froelich, 2012; Vigier and Godd  ris, 2015). Another major challenge is that  $\delta^7\text{Li}$  variations are likely controlled by the corresponding Li concentration such as inferred by our simulation results (Figs. 11) and likely manifested by the absence of  $\delta^7\text{Li}$  variation in the Bristner Granite

groundwater. This apparent sensitivity of  $\delta^7\text{Li}$  on the Li concentration implies reconstructing the evolution of the continental Li flux distribution through time in addition to subsurface residence variations. In this context, first Cenozoic continental Li flux reconstructions have been presented recently (Li and West, 2014; Vigier and Godd  ris, 2015).

## 8. SUMMARY AND CONCLUSIONS

The use of Li isotope measurements for tracking water-rock interaction in fractured crystalline aquifers at temperatures of up to 43  C was assessed by performing Li isotope measurements on 17 groundwater samples collected during drilling of the new Gotthard rail base tunnel in Switzerland. A particular effort was made to match  $\delta^7\text{Li}$  values as well as major species concentrations by reactive transport model simulations using the code TOUGHREACT V3. In doing so, the possibility of defining a maximum amount of a trace element that is incorporated into the structure of a precipitating mineral was added as a new capability to TOUGHREACT V3. The main conclusions from this study are:

1. The alteration of fracture surfaces by a circulating fluid may lead to a strong variation of  $\delta^7\text{Li}$  values at temperatures of up to 43  C. A strong  $\delta^7\text{Li}$  variation, however, was only observed if the Li concentration was low (0.01-0.02 mg/L). For high Li concentrations on the order of 1-4 mg/L, no variation was observed suggesting that the amount of Li that can be incorporated into secondary minerals is limited and that the use of  $\delta^7\text{Li}$  values as a proxy for water-rock interaction is restricted to low Li concentrations.
2. Li uptake by kaolinite precipitation or by the precipitation of other Al-bearing phases forms the key process to cause Li isotope fractionation in fractured crystalline aquifers characterized by a granitic mineralogical composition. Our data suggests that under slow

flow conditions (<10 m/year), at temperatures <50 °C, and alkaline conditions (pH>9), this Li uptake is associated with a very large Li isotope fractionation factor ( $\epsilon \approx -50 \text{ ‰}$ ).

3. For the samples with low Li concentrations,  $\delta^7\text{Li}$  values are mainly controlled by the cumulative amount of kaolinite precipitation occurring along the flow path. Consequently, aqueous  $\delta^7\text{Li}$  values are sensitive to fluid residence times, reactive fracture surface areas, and pH values, all controlling overall silicate mineral reaction rates.
4. Incorporating the fate of Li isotopes into fully coupled reactive transport model simulations allows a predictive understanding of measured Li isotope ratios. For simple and well-defined systems with known residence times and low Li concentrations,  $\delta^7\text{Li}$  values may help to quantify mineral reaction rates and associated parameters (e.g., reactive surface area). An accurate quantification, however, currently suffers from the lack of thermodynamic data such as the temperature dependent amount of Li that can be incorporated into secondary minerals as well as corresponding fractionation factors.
5. In crystalline aquifers with high Li concentrations such as in the Bristner Granite, groundwater Li is likely inherited from an ancient hydrothermal fluid still residing in the pore space of the intact rock matrix.

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## FIGURE CAPTIONS

Figure 1: Geological cross section through the Amsteg section of the Gotthard rail base tunnel (modified from Bucher et al., 2012). The two sections from which water and rock samples were taken for Li concentration and Li isotope measurements are highlighted.

Figure 2:  $\delta^7\text{Li}$  values of BuMigIII water samples plotted against the pH (**a**), the [Li] (**b**), and the molar Li/Na ratio (**c**). The shown correlations were obtained by not considering the two samples with special features (e.g., anhydrite dissolution and low temperature). (**d**) Rayleigh model ( $\delta^7\text{Li} = \delta^7\text{Li}_{\text{ini}} + 1000(f^{\alpha-1}) - 1000$ ) using an enrichment factor  $\epsilon$  of -50‰ ( $\epsilon = (\alpha - 1) * 1000$ ), an initial  $\delta^7\text{Li}$  of 7‰ and a [Li] of 17 µg/L at  $f=1$ .

Figure 3: Excellent linear correlation between  $\text{Li}^+$  and  $\text{Cl}^-$  observed for groundwater samples collected from the Bristner Granite.

Figure 4: Comparison between experimentally determined and tabulated  $\log(K)$  values for the following kaolinite hydrolysis reaction:  $\text{Al}_2\text{Si}_2\text{O}_5(\text{OH})_4 + 3\text{H}_2\text{O} = 2\text{Al}(\text{OH})_4^- + 2\text{SiO}_2 + 2\text{H}^+$ .

Figure 5: Li influx scenarios and corresponding model setups for simulating the infiltration of meteoric water into the BuMigIII rock column above the tunnel.

812

813 Figure 6: General behaviour of the simulated interaction between infiltrating meteoric water and  
814 the granitic BuMigIII. Steady state profiles along the model are shown for temperature and pH  
815 **(a)**, total major species concentrations **(b)**, changes in mineral volume fractions relative to  $t=0$   
816 after a simulated time of 10'000 years **(c)**, and saturation indices of selected mineral phases **(d)**.  
817 All profiles refer to a general situation where chemical equilibrium is approached along the flow  
818 path (e.g.,  $v=1$  m/year and  $A_{frac}=0.01$  m<sup>2</sup>/m<sup>3</sup><sub>fractured\_medium</sub>).

819

820 Figure 7: General behavior of Li in the two Li influx scenarios (Fig. 5) at the same reaction  
821 progress as shown in Fig. 6. **(a)** continuous Li influx scenario. **(b)** mixing scenario. **(c)**  
822 correlation between  $\delta^7\text{Li}$  and Li. Results correspond to an Li isotope enrichment and maximum  
823 amount of Li in kaolinite of -50‰ and 75 µg/g, respectively (simulations C1 and M3, Table 6).

824

825 Figure 8: Major species concentrations computed for the tunnel level and plotted against the pH  
826 to illustrate their behavior with respect to a variable reaction progress along the model domain  
827 (e.g., variable residence time). Also shown are corresponding measurements of BuMigIII  
828 groundwater samples.

829

830 Figure 9: Sensitivity analyses performed for the mixing scenario (Fig. 5b). **(a)** compares  
831 measured  $\delta^7\text{Li}$  and [Li] with values computed as a function of the reaction progress along the  
832 model domain (i.e., as a function of pH/residence time) and for a variable maximum Li  
833 concentration in kaolinite. **(b)** shows the same parameters as in **(a)**, but for a varying Li isotope

enrichment factor. In (c) the comparison between model and observations is shown for a varying kaolinite precipitation rate.

Figure 10. Two parameter combinations that can well approximate the correlations between  $\delta^7\text{Li}$ , Li and pH observed in the BuMigIII groundwater when running the model for a variable reaction progress (e.g., by varying the residence time).

Figure 11: Sensitivity of  $\delta^7\text{Li}$  values on the aqueous Li concentration. Computed Li concentration (a) and  $\delta^7\text{Li}$  (b) at the tunnel level are shown for a varying Li concentration of the simulated groundwater mixture (Fig. 5b) as a function of the reaction progress (i.e., pH).

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